

Attorney's Docket No.: 03.B.002US

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Clayton KITTRRELL et al. Art Unit : 2641  
Serial No. : 10/666,534 Examiner : N/A  
Filed : September 19, 2003  
Title : TRANSPORTABLE DOCUMENT IDENTIFIER

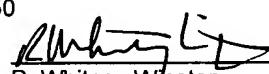
**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

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R. Whitney Whiston  
Reg. No. 44,432

**INFORMATION DISCLOSURE STATEMENT**

The attached Information Disclosure Statement is hereby submitted for consideration.

Pursuant to 37 C.F.R. § 1.97(d), this statement is filed without copies of the cited references because: (1) the instant application claims the benefit of the filing dates of U.S. Application No. 10/367,964 and U.S. Application No. 10/214,444 under 35 U.S.C. § 120 as a continuation-in-part; and (2) each identified reference was previously cited by or submitted to the Office in the parent applications in full compliance with paragraphs (a) through (c) of 37 C.F.R. § 1.97. Consideration is respectfully requested.

Please note that this Information Disclosure Statement is cumulative and includes all references cited in the Information Disclosure Statement filed April 18, 2005.

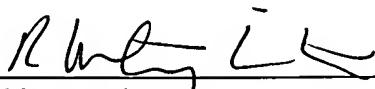
This statement is being filed pursuant to 37 C.F.R. § 1.97 before the mailing of a first Office action on the merits. Accordingly, it is believed that no fee is presently due. Please apply any other charges or credits to Deposit Account No. 50-3167.

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Respectfully submitted,

Date: December 13, 2005

  
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 03.B.002	Application No. 10/666,534
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Kittrell et al.	
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(DEC 15 2005)  
Information Disclosure Statement by Applicant  
(Use several sheets if necessary)  
(37 CFR §1.98(b))

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,879,751	4/22/1975	Gimelli			
	AB	4,914,586	4/3/1990	Swinehart et al.			
	AC	4,949,379	8/14/1990	Cordell			
	AD	5,005,200	4/2/1991	Fischer			
	AE	5,091,975	2/25/1992	Berger et al.			
	AF	5,126,543	6/30/1992	Bergeron et al.			
	AG	5,247,497	9/21/1993	Cohn			
	AH	5,436,972	7/25/1995	Fischer			
	AI	5,544,255	8/6/1996	Smithies et al.			
	AJ	5,577,120	11/19/1996	Penzias			
	AK	5,647,017	7/8/1997	Smithies et al.			
	AL	5,818,955	10/6/1998	Smithies et al.			
	AM	6,091,835	7/18/2000	Smithies et al.			
	AN	6,185,316	2/6/2001	Buffam			
	AO	US20020031209	3/14/2002	Smithies et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AP	9161494	6/20/97	Japan				

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	UNCITRAL Model Law on Electronic Signatures with Guide to Enactment 2001; United Nations Publication Sales No. E.02.V.8, ISBN 92-1-133653-8, 74 pages. 2001.
	AR	Lisa Broderick et al., "JOHN HANCOCK REINVENTED: Using Keys and Other Handy Things As Electronic Signatures", PenOp, Inc. 1998, pp. 1-8.
	AS	Benjamin Wright, "EGGS IN BASKETS: Distributing the Risks of Electronic Signatures", <a href="http://efa.org/digsig/penop03.txt">http://efa.org/digsig/penop03.txt</a> , June 22, 1995, pp. 1-10.
	AT	Benjamin Wright, "THE LAW OF DIGITAL SIGNATURES", July 2002, pp. 1-8.
	AU	ILLINOIS ELECTRONIC COMMERCE SECURITY ACT With Comments – FINAL VERSION, file:///D /ecommerce/legis/ill-esca.html, 1998, pages 1-49.

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AV	Department of Health and Human Services, Food and Drug Administration; 21 CFR Part 11, March 20, 1997; pp. 13429-13466.
	AW	Preliminary Specifications for Electronic Mortgage Loan Documentation; June 2001, Freddie Mac. pp. 1-52.
	AX	One Hundred Sixth Congress of the United States of America, Second Session, 01/24/2000, pp. S.761-1 – S.761-13.
	AY	Patricia B. Fry, Drafting Committee Memorandum for April UETA Meeting; 2 pages.
	AZ	Office of Management and Budget, Implementation of the Government Paperwork Elimination Act; <a href="http://www.whitehouse.gov/omb/fedreg/print/gpea2.html">http://www.whitehouse.gov/omb/fedreg/print/gpea2.html</a> . May 2, 2000.
	AAA	Web VoiceID.com; <a href="http://tompritchard.com/voiceid/welcome.html">http://tompritchard.com/voiceid/welcome.html</a> , Copyright 2000-2002, pages 1-3.
	BA	“Records Management Guidance for Agencies Implementing Electronic Signature Technologies”, National Archives and Records Administration, Oct. 18, 2000; pp. 1-18.
	BB	Benjamin Wright, Esq., “The Legality of the PenOp® Signature”, PenOp Resource Center, Second Edition, 1994, pp. 1-24.
	BC	Kathy Lyons-Burke, “Federal Agency Use of Public Key Technology for Digital Signatures and Authentication”, NIST Special Publication 800-25; pp. 1-30. October 2000.
	BD	Benjamin Wright, supplement to “The Law of Electronic Commerce”, Little, Brown and Company 1991, 1994 supplement pp. 43-45.
	BE	Benjamin Wright, “CEREMONY™ IS A TIMELY TOOL FOR SATISFYING E-COMMERCE LAWS”, PenOp Inc, 2000; 3 pages.
	BF	Selwood Research, Federal Trade Commission, “E-Sign Study – Comment P004102, pp. 1-4. March 16, 2001.
	BG	Misner, Robert L. “Tape Recordings, Business Transactions Via Telephone, and the Statute of Frauds,” Iowa Law Review, Vol. 61, pp. 941-964, 1976.
	BH	Congressional Record – Senate, S5284, June 16, 2000.
	BI	Ellis Canning Company v. Arthur J. Bernstein. 348 F. Supp. 1212 (D. Colo. 1972).
	BJ	“Seal-It.” J&R Software. <a href="http://www.j-r-software.com">http://www.j-r-software.com</a> . April 4, 2002.
	BK	Allen v. Wachtendorf, 962 S.W.2d 279 (Tex.App. Corpus Christi 1998)

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	ABB	US-2003/0154172	08-2003	Guyan et al.	705	80	
	ACC	US-6,389,402	05-2002	Ginter et al.	705	51	
	ADD	US-6,381,344	04-2002	Smithies et al.	382	115	
	AEE	US-6,209,095	03-2001	Anderson et al.	713	176	
	AFF	US-6,199,049	03-2001	Conde et al.	705	24	
	AGG	US-6,055,552	04-2000	Curry, Bo U.	715	530	
	AHH	US-5,832,464	11-1998	Houvener et al.	705	45	
	AII	US-4,991,205	02-1991	Lemelson, Jerome H	713	186	
	AJJ	US-2003/0093679	05-2003	Hawkins et al.	713	181	
	AKK	US-2003/0033241	02-2003	Haradi, Adi	705	38	
	ALL	US-2003/0095601	07-2002	Hind et al.	713	201	
	AMM	US-6,802,041	10-2004	Rehm, Peter H	715	500.1	
	ANN	US-6,289,304	09-2001	Grefenstette	704	9	
	AOO	US-2002/0118220	08-2002	Lui et al.	345	709	
	APP	US-2002/0188497	12-2002	Cerwin	705	10	
	AQQ	US-5,758,323	05-1998	Case	704	9	

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes      No
	ARR						

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	ASS	Balacheff, Boris, et al., "A Trusted Process to Digitally Sign a Document", NSPW '01, Cludcroft, NM, Sep. 10-13, 2001, pp. 79-86 (plus 3 pages of citation: p. 1 from ACM and pp.2-3 from LOC) [ACM I-58113-457-6/01/0009].
	ATT	Steinauer, Dennis D., et al., "Trust and Traceability in Electronic Commerce", StandardView, Vol. 5, No. 3., Sept. 1997, pp. 118-124.
	AUU	Molnar, David, "Signing Electronic Contracts", Crossroads, Vol. 7, Issue 1, Sep. 2000, pp. 1-10 (plus citation page), downloaded from: www.acm.org/crossroads/xrds7-1/contract.html.

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	AVV	Gräther, Wolfgang, et al., "Enhancing Workflows by Web Technology", GROUP '97, Phoenix, AZ, 1997, pp. 271-280 [ACM 0-89791-897-5/97/11].
	AWW	Cole, James, et al, "Extending Support for Contracts in ebXML", Australian Computer Science Communications, Vol. 23, Issue 6, Jan. 2001, pp. 119-127 (plus citation page) [IEEE 0-7695-0960-6/01].
	AXX	Lemay Laura, "Teach Yourself Web Publishing with HTML 4 in 14 days," 2 <sup>nd</sup> Professional Reference Edition, Sams.net Publishing, Indianapolice, IN, © 1997, pp. 99-105, 248-257 and 265.
	AYY	Thimm, Heiko, "The Enhanced CSCW Teleservice for Wide Area Cooperative Authoring of Multimedia Documents", SIGOIS Bulletin, Vol. 15 No. 2, Dec. 1994, pp. 49-57.
	AZZ	Mukhopadhyay, Sugata, et al., "Passive Capture and Structuring of Lectures", ACM Multimedia '99, Orlando, FL, Oct. 1999, pp. 477-487 [ACM 1-58113-151-8/99/0010].
	AAAA	Ströbel, Michael, "Communication Design for Electronic Negotiations on the Basis of XML Schema," WWW 10, Hong Kong, May 1-5, 2001, pp. 9-20 [ACM 1-58113-348-0/01/0005].
	ABBB	Asokan, N., et al., "Optimistic Protocols for Fair Exchange", Fourth ACM Conference on Computer and Communications Security, Zurich, Switzerland, © 1997, pp. 7-17 (plus citation page) [ISBN 0-89791-912-2].

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